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The go-to guide to acing the Series 4 Exam! The Registered Options Principal Qualification Examination (Series 4) was designed to test a candidate's knowledge of the rules and statutory provisions applicable to the supervisory management of a firm's options personnel and options accounts. After passing the exam, a registered person may supervise a FINRA member firm's options business and is qualified to oversee trades on all forms of options, from equity options to foreign currency options to options on government and mortgage-backed securities. The subject areas covered in the exam are: Options Investment Strategies; Supervision of Sales Activities and Trading Practices; Supervision of Employees, Business Conduct; and Recordkeeping and Reporting Requirements. Created by the experts at the Securities Institute of America, Inc., Wiley Series 4 Exam Review 2013 arms you with what you need to score high on the test. Designed to let you build and fine-tune your knowledge of all areas covered in the exam and to guarantee that you're prepared mentally and strategically to take the test, it provides: dozens of examples; 191 practice questions covering each subject area in the exam; priceless test-taking tips and strategies; and helpful hints on how to study for the test, manage stress, and stay focused. Wiley Series 4 Exam Review 2013 is your ticket to passing this difficult three-hour test on the first try - with flying colors! Visit the website for more information. The Securities Institute of America, Inc. helps thousands of securities and insurance professionals build successful careers in the financial services industry every year. Our securities training options include: onsite classes; private tutoring; classroom training; interactive online video training classes; state-of-the-art exam preparation software; printed textbooks; and real-time tracking and reporting for managers and training directors. As a result, you can choose a securities training solution that matches your skill level, learning style, and schedule. Regardless of the format you choose, you can be sure that our securities training courses are relevant, tested, and designed to help you succeed. It is the experience of our instructors and the quality of our materials that make our courses requested by name at some of the largest financial services firms in the world.

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